

413 North Washington Street, Alexandria, VA 22314  
Telephone 703-549-2282  
Facsimile 703-636-0108

**Sherman &  
Shalloway**

# Fax

<b>To:</b> Deposit Accounts	<b>From:</b> Robert L. Haines
<b>Fax:</b> 703-308-6778	<b>Pages:</b> 7
<b>Phone:</b>	<b>Date:</b> 5/24/02
<b>Re:</b> Refund to Deposit Account 19-1980	<b>CC:</b>

☐ Urgent    ☐ For Review    ☐ Please Comment    ☐ Please Reply    ☐ Please Recycle

This transmission is intended for the individual or entity to which it is addressed and may contain information that is privileged and confidential. If the reader of this communication is not the intended recipient, or its employee or agent responsible for delivering the communication to the intended recipient, you are notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify the sender immediately by telephone and return the original communication to us at the above address by the U.S. Postal Service.

Transmitted herewith are the following items:

1. Request for refund
2. Copy of Deposit account statement dated April 30, 2002
3. Copy of fee transmittal from TAN-296
4. Copy of stamped postcard receipt
5. Copy of Multiple Dependent Claim Fee Calculation Sheet

MAY 24, 2002 11:16AM

SHERMAN & SHALLOWAY

NO. 5448 P. 2

#31 Reg for  
Refund

09-25-02

LAW OFFICES  
SHERMAN & SHALLOWAY

PATENT TRADEMARK & COPYRIGHT CAUSES

113 NORTH WASHINGTON STREET  
ALEXANDRIA, VIRGINIA 22311

MAIL ADDRESS: P. O. BOX 788  
ALEXANDRIA, VIRGINIA 22318

TELEPHONE 703-549-2282  
FAX (C3) 703-836-0106  
FAX (C4) 703-271-3080  
E-MAIL: ipulco@shermshall.com

Sender's E-Mail: thaines@shermshall.com

May 24, 2002

VIA FACSIMILE

Commissioner of Patents and Trademarks  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

Attention: Deposit Account  
Refund Department

Re: Deposit Account No. 19-1980  
Account Holder: Sherman & Shalloway

Dear Sir:

In checking our monthly statement dated April 30, 2002, a copy of which is attached hereto, we note that the Office has incorrectly charged our account for an extra claim fee in the amount of \$18.00 for Serial No. 10/078,402.

Application Serial No.: 10/078,402 was filed on February 21, 2002, with a check in the amount of \$1,336.00 to cover the base filing fee of \$740.00 and an extra claim fee calculated at \$556.00 and an assignment fee of \$40.00. A copy of the Fee Transmittal sheet filed with the application is attached and shows the extra claim fee as calculated from 26 total claims and 5 independent claims.

We respectfully submit that this is a correct claim count and a copy of our multiple dependent claim fee calculation sheet is enclosed.

Based on this claim count, the extra claim fee calculation is as follows:

Total claims	26 - 20 = 6 extra @ \$18.00 ea.	= \$108.00
Independent	5 - 3 = 2 extra @ \$84.00 ea.	= \$168.00
Multiple dependent		= <u>\$280.00</u>

Extra claim fee \$556.00

When this is added to the base filing fee and the assignment recordation fee, the total due in this application at

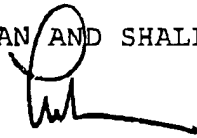
filing was \$1,336.00. As shown by the record, a check in the amount of \$1,336.00 was submitted with the application.

We respectfully submit that the charge of \$18.00 made on April 4, 2002 for an extra claim is in error and we request an immediate refund by credit to deposit account no. 19-1980.

Any questions on this matter may be directed to Robert Haines.

Respectfully requested,

SHERMAN AND SHALLOWAY



Leonard W. Sherman

Enclosures  
LWS/rlh


**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

**MONTHLY STATEMENT**  
**OF DEPOSIT ACCOUNT**

 To replenish your Deposit Account, detach and  
 return top portion with your check. Make check  
 payable to Commissioner of Patents & Trademarks.

Account No.	191980
Date	4-30-02
Page	1

SHERMAN &amp; SHALLOWAY

FINA

413 N. WASHINGTON ST.

ALEXANDRIA VA 22314

 PLEASE SEND REMITTANCES TO:  
 Patent and Trademark Office  
 P.O. Box 70541  
 Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
4	4	02	6	10078402	TAN-296	704	EXTRA CLM 18.00	2657.06
4	5	02	260	549946		561	SOFT COPIES 6.00	2651.06
4	11	02	6	09778942	GED-6	103	EXTRA CLM 18.00	2633.06
4	12	02	99	553506		561	SOFT COPIES 6.00	2627.06
4	16	02	222	800578		569	EXTRA CLM 50.00	2577.06
4	18	02	68	801151		561	SOFT COPIES 6.00	2571.06
4	24	02	183	805582		561	SOFT COPIES 6.00	2565.06
4	25	02	6	10098028	CHN-242/DEU	704	EXTRA CLM 132.00	2433.06
4	26	02	8	10098028	CHN-243/DEU	704	REFUND -96.00	2529.06
4	30	02	81	10133445	ZU-343/CONT	116	EXTRA CLM 168.00	2361.06

 AN AMOUNT SUFFICIENT TO  
 COVER ALL SERVICES REQUESTED  
 MUST ALWAYS BE ON DEPOSIT.

 OPENING BALANCE  
 2675.06

 TOTAL CHARGES  
 410.00

 TOTAL CREDITS  
 96.00

 CLOSING BALANCE  
 2361.06

Date: February 20, 2002

Docket No. TAN-296

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:  
INVENTOR(S): Masato NAKADE and Koichi KAMEYAMA

Title: A METAL OXIDE-ORGANOPOLYSILOXANE HYBRID POWDER AND A METHOD FOR THE PREPARATION THEREOF  
AND A COSMETIC COMPOSITION THEREWITH

Enclosed are:

- ☐ \_\_\_\_\_ sheets of formal drawings
- ☒ Executed Declaration/Power of Attorney
- ☒ An Assignment of the invention to: KOSÉ CORPORATION
- ☒ Certified copy of: Japanese Patent Application 2001-048172, Filed 2/23/2001
- ☐ Applicant(s) is/are entitled to small entity status
- ☒ Preliminary Amendment
- ☐ Form PTO-1449 ☐ Information Statement

The filing fee has been calculated as shown below:

			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$370		\$740
Total Claims	26-20=	6	x 9=		or	x18= 108
Indep claims	5- 3=	2	x42=		or	x84= 168
<input checked="" type="checkbox"/> Multiple	Dep claims		+140=	0	or	+280= 280
			TOTAL \$			TOTAL \$1,296.00

- ☒ A check is enclosed in the amount of \$1,336.00 to cover the filing fee and ☒ assignment recordation fee.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing or application processing fees or credit any overpayment to Deposit Account No. 19-1980. Issue and Maintenance fees are excluded from this authorization. A duplicate of this sheet is enclosed.
- ☐ This application is a continuation-in-part of copending application Serial No. \_\_\_\_\_, filed \_\_\_\_\_ and priority is claimed therefrom.
- ☒ Priority is claimed under 35 USC 119 based on: Japanese application 2001-048172 filed February 23, 2001

Respectfully submitted,

Attorney for Applicants.  
Leonard W. Sherman  
Reg. No. 12,636

SHERMAN & SHALLOWAY  
P. O. BOX 789  
Alexandria, Virginia 22313  
(703) 549-2292

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Masato NAKADE, et al.

Serial No.: Unassigned

Filed: Concurrently

For: A METAL OXIDE ORGANOPOLYSILOXANE HYBRID POWDER AND A METHOD  
FOR THE PREPARATION THEREOF AND A COSMETIC COMPOSITION THEREWITH

Papers filed: Specification, claims & abstract (29 pgs), Executed  
declaration, Assignment & recordation sheet, Preliminary amendment,  
Patent application data sheet, Certified copy of JP 2001-048172,  
Transmittal letter (2 copies), Check \$1,336.00 Stamped return  
postcard.

1c971 U.S. PTO  
10/078402

02/21/02

TAN-296

February 20, 2002

PTO/SB-07 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

U. S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

MULTIPLE DEPENDENT CLAIM FEE CALCULATION SHEET (For use with Form PTO/SB-06)						Application Number 10/078402		Filing Date 2/21/2002		
						Applicant(s) NALLADO				
* May be used for additional claims or amendments										
CLAIMS	AS FILED		AFTER FIRST AMENDMENT		AFTER SECOND AMENDMENT					
	Indep	Depend	Indep	Depend	Indep	Depend	Indep	Depend	Indep	Depend
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20531. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20531.